

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Ross L. Stevens et al.)	
Serial No.:	10/711,889)	
Filed:	October 12, 2004)	Confirmation No. 5888
)	
Group Art Unit:	4143)	
Examiner:	John O. Preston)	
)	
Attorney Docket:	014033-000037)	
)	
Title:	METHOD AND SYSTEM)	
	FOR BLOCK TRADING)	
	OF SECURITIES)	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE FILED WITH RCE

Sir:

This paper is being filed with a Request for Continued Examination. The requisite fee for an RCE is included herewith. Additionally, Applicants hereby petition for a four (4) month extension of time and have included the requisite fee. Applicants believe no additional fee is due at this time. Should Applicants be mistaken as to the fee, you are authorized to charge deposit account 13-4365 for the shortfall, or credit said deposit account for any overpayment. Reconsideration of the application is respectfully requested in light of the amendments and remarks contained herein.

Amendments to the Specification, there are no amendments to the specification.

Amendments to the Claims begin on page 2 of this paper.

Remarks/Arguments begin on page 10 of this paper.